



Corporate Governance & Compliance | Competitive Advantage®

EDUCATION

OUR PROGRAMMES





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Greetings from The Red Flag Group

The Red Flag Group, a market leader in compliance advisory, due diligence and technology, offers a wide range of executive education and professional development courses to help compliance officers broaden their hard and soft skills in compliance.

Our courses are delivered by an experienced team of speakers who are experts in their respective fields and presenting interesting real-life case studies from a wide geographical area covered by our practice.

We draw on our vast experience, extensive research and compliance background to ensure that the courses are timely and take account of the very latest changes and developments in compliance risks.

The well-researched and practical courses that have a strong focus on the applications of compliance regulations can be tailored to special objectives and interests. We can also build compliance programmes from scratch and customise our unique in-house programme in compliance leadership to specific requirements.

Through the courses you will learn how to anticipate and fully respond to the demands of the legal and compliance environment and apply your new knowledge in real-life situations. At the same time, you will strengthen your soft skills, including change management, negotiation and presentation skills.

Take a chance you can grow in your current role as well as build important networks within the industry with us.

For further inquiries about our courses and programmes, visit our website www.redflaggroup.com or contact us at education@redflaggroup.com

Sincerely,

The Red Flag Group
Education





ASSOCIATE INTENSIVE



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ACI's Associate Intensive Program has been developed to build core competencies required of compliance professionals to meet the demands of an increasingly complex regulatory environment. The principles-based course is designed to provide governance, risk and compliance practitioners the skills and knowledge to implement and maintain an effective compliance program across any industry or sector.

EDUCATION

LEADERSHIP

RECOGNITION

WHY ENROL?

ACI's Associate Intensive has long been recognised as the professional accreditation for those embarking on a compliance career. Providing excellent career development opportunities on completion, the course delivers up-to-date compliance, risk and governance content and the practical skills required to develop a compliance program. It is also a unique opportunity to strengthen personal networking with like-minded professionals.

The course provides a pathway to a career as a compliance professional and to ACI's Certified Compliance Professional Program (CCP).

COURSE PROGRAM



MODULE 1 COMPLIANCE AND RISK FRAMEWORKS

- Define 'compliance' and the roles at each level of an organisation to deliver a compliance framework.
- Articulate the elements of the Australian and New Zealand Compliance Standard AS/NSZ 3806: 2006 and how they are used to develop a compliance framework.
- Discuss the relationship between compliance and risk management.
- Apply the structural elements of the international Risk Management standard ISO 31000: 2009 to assess, treat and monitor compliance risks.
- Develop a compliance risk register and supporting compliance plan on how to treat the risks.

MODULE 2 CULTURE OF COMPLIANCE AND ETHICS

- Define and describe compliance culture, integrity, ethics and values
- Articulate the importance of ethical decision-making
- Outline strategies and compliance programs in order to impact the organisation's standards and values

MODULE 3 COMPLIANCE OBLIGATIONS, LEGISLATION AND REGULATION

- Describe compliance obligations; particularly the impact of the legislative and regulatory environment.
- Articulate the steps of a legislative and regulatory change program.
- Outline engagement issues which influence the development of legislative and regulatory reform.



MODULE 4

AWARENESS, COMMUNICATION AND TRAINING

- Define and describe the three key elements of an effective compliance communication program – Awareness, Communication and Training ('ACT')
- Develop an 'ACT' plan
- Consider and assess critical factors that contribute to the success of implementing an 'ACT plan', including budgets, internal and external resources, and supporting offline or contractor audiences

MODULE 5

ISSUES AND BREACH MANAGEMENT

- Describe the elements of a consequence management program
- Design and develop an issues and breach management process
- Establish a standard process to investigate breaches and manage the outcomes

MODULE 6

MANAGING COMPLIANCE PROJECTS

- Define what is a compliance project and when it is required
- Describe the elements of each stage in a project lifecycle
- Identify the specific responsibilities of a compliance officer in a project

MODULE 7

COMPLIANCE MONITORING

- Define compliance monitoring and why it needs to be performed
- Outline the difference between compliance monitoring and audit function audits
- Articulate the steps of a compliance monitoring program
- Outline how to undertake an individual compliance monitoring plan
- Develop final reports on findings and recommendations

ASSESSMENT AND LEARNING OUTCOMES

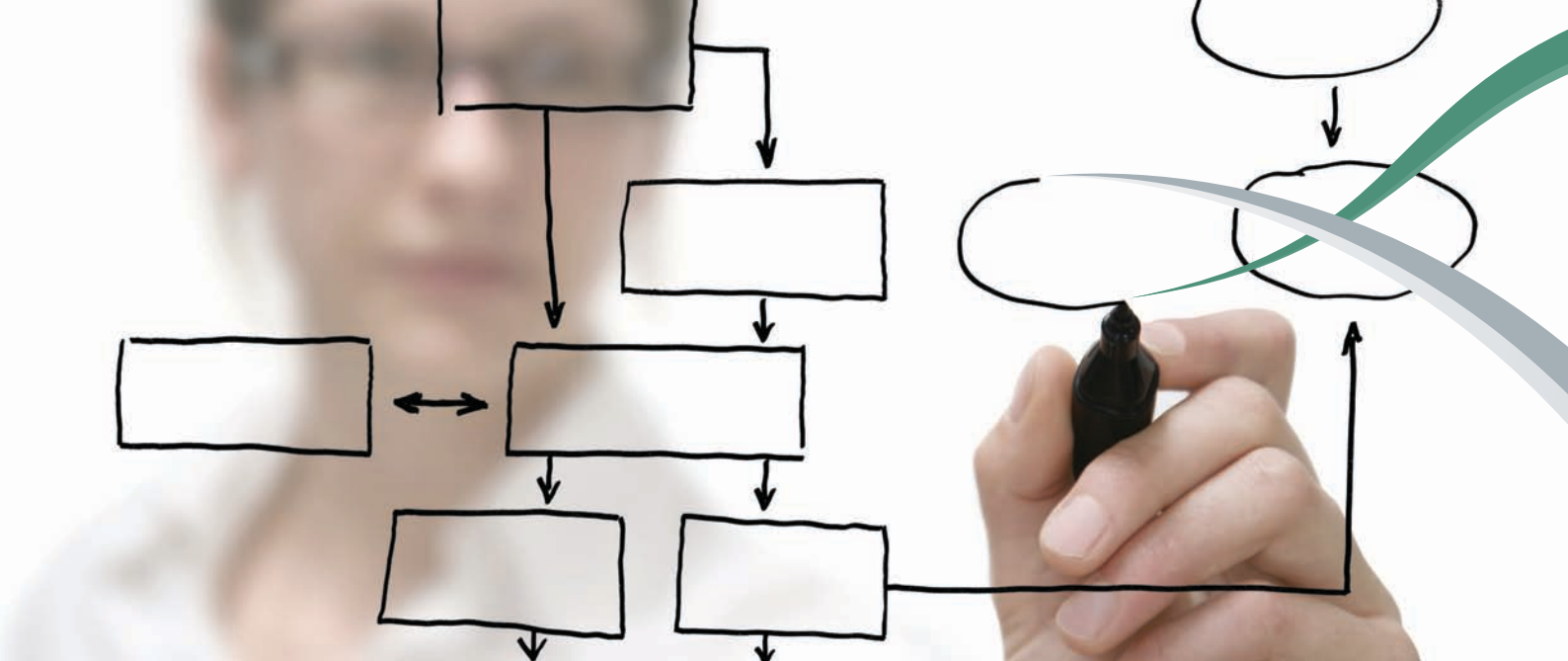
ASSESSMENT

- Pre-course and in-class activities
- Take-home written assignment.

LEARNING OUTCOMES

Upon successful completion of this course and required assessment, you will be awarded the following units of competency from the Certificate IV in Compliance Management:

- Comprehend and maintain awareness of compliance requirements
- Organise and monitor the operation of compliance management system
- Implement processes for the management of breaches in compliance requirements
- Provide education and training on compliance requirements and systems
- Promote and liaise on compliance requirements, systems and related issues
- Promote compliance with legislation
- Support performance management process
- Show leadership in the workplace
- Identify risk and apply risk management processes
- Manage projects
- Undertake compliance audits



AWARD

On completion, students will be accredited as an Associate of ACI and may display the post nominal AACI.



ASSOCIATE

COURSE REGISTRATION



ENTRY REQUIREMENTS

Students should have at least one years' experience in a compliance, risk or governance-related role.

For course dates and locations, please visit the ACI website www.acigrc.com

FEES

\$ AUD 2000 + \$ AUD 265 membership fee per year (pro-rated from joining date). Includes all course materials, tuition, assessment and graduation.



REGISTER TODAY

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EMAIL education@acigr.com

IN-HOUSE TRAINING

ACI's Associate Intensive program or individual modules can also be delivered in-house as part of your organisational training framework. The course can be tailored to meet the specific needs of your organisation, within the parameters of the national qualification framework, ensuring optimal value for your business and employees.

If you would like to know more, please contact ACI's Professional Development Manager on +61 2 9290 1788 or education@acigr.com.



ACI

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