



Corporate Governance & Compliance | Competitive Advantage®



Technology

We know that most of the time lawyers and compliance teams are allocated only a small budget. IT needs in compliance issues are often overlooked and IT departments are too busy to support the non-income producing sectors of the business. This is where we come in.

Our ComplianceDesktop® Technology Platform is a unique service platform which allows you to access web applications designed for lawyers and compliance professionals and assists large organisations to manage their legal and compliance functions in one single portal.

Is this your company?

- | Is your compliance department suffering from a lack of resources?
- | Does your IT team understand compliance?
- | Is your IT team having trouble supporting your compliance team?

Key features and benefits

- | Compliance questionnaires and surveys
- | Configurable approval routing and workflow solutions
- | Efficient and effective communication
- | Cross-applications reporting
- | Time saving with automation of tasks
- | Reducing required IT resources
- | Building applications around your existing compliance processes



ComplianceDesktop® Technology Platform products

Policy Portal

Manages the lifecycle of policies in your organisation from creation to review, approval and tracking of their usage by your staff.



Questionnaire Tool™

Allows complex data collection activities for external partners.



Certifications Tool

Ensures all compliance obligations are certified and signed off, and completed actions are recorded.



Conflicts Tool

Tracks all conflicts of interest within your organisation.



Due Diligence Manager

Manages all due diligence investigations and tracks the status of cases in one place.



IntegraWatch™ | Self-Service Watchlist

Conducts routine screenings of companies and individuals against up-to-date US and international watch lists.



Partner Manager

Manages all of your third parties and provides an overview on how they are interacting with your compliance programme.



Remediation Tool

Records all issues and follow-up items for reviews and auditing.



Gifts Travel & Entertainment Tool

Manages and reports all benefits received and requested to and from your organisation.



Survey Tool

Collects internal responses and interactions amongst the staff within your organisation.



Training Tool

Manages all training materials, completion results, and training certifications completed by your staff and third parties.



ComplianceDesktop® Technology Platform integration

	Third Party Due Diligence Solution	Policy Management Solution	Anti-Corruption Compliance Solution	Third Party Compliance Solution	Compliance Risk Management Solution
Policy Portal		✓	✓	✓	✓
Questionnaire Tool™	✓		✓	✓	✓
Certifications Tool	✓	✓	✓	✓	✓
Conflicts Tool					✓
Due Diligence Manager	✓		✓	✓	✓
IntegraWatch™ Self-Service Watchlist	✓		✓		✓
Partner Manager	✓		✓	✓	
Remediation Tool	✓	✓	✓	✓	✓
Gifts Travel & Entertainment Tool			✓	✓	✓
Survey Tool		✓	✓		✓
Training Tool	✓	✓	✓	✓	✓

How it works



What makes us different?



Security and privacy

Our ISO 27001 certification reflects our world class data security and our ISO 9001 certification shows our commitment to quality. Our applications are hosted in a Tier 1 facility which completed an examination in conformity with the SSAE 16 Type II Service Organization Control 1. This ensures the safety and integrity of your data.



Integrated

Our common architecture allows data to be shared and reported across all applications installed in your ComplianceDesktop® Technology Platform. This provides a complete picture of your compliance infrastructure.



Flexible

Our flexible product structure allows you to select the applications that suit your current business needs with the ability to add or remove any applications when needed.



Simple to use

Our SaaS architecture will get your company up and running with a standard configuration of ComplianceDesktop® Technology Platform within days.



Real life solutions

As a compliance solutions provider, our role is to understand your needs and provide integrated, simple and effective solutions.



Powerful reporting

Our reporting formats — including dashboards, charts and reports — will suit all your specific needs.



Support

With our dedication to support our customers, we provide you with multiple contact channels, including a support hotline that is staffed 365/24/7 and a dedicated Customer Advocacy team.



Configuration

Our ComplianceDesktop® Technology Platform allows different levels of customisation and configuration such as matching the look and feel of your intranet site.

‘ComplianceDesktop® Technology Platform gives us one place where every single employee worldwide goes to access all of their legal and compliance functions that are relevant to them - no matter their position, country in which they live or in which they conduct our business.’

Compliance Officer, Major US-listed company