

Remediation Tool

Ongoing monitoring and measurement of any compliance programme is essential to its success. It is important that throughout the compliance cycle remediation steps are recorded and assigned for completion. They may arise as a result of a review, a due diligence report, a routine investigation or audit, or simply capturing a good idea. In all cases, recording that information and having a process to ensure that it implemented and integrated into the compliance programme is essential.

Does it sound like your company?

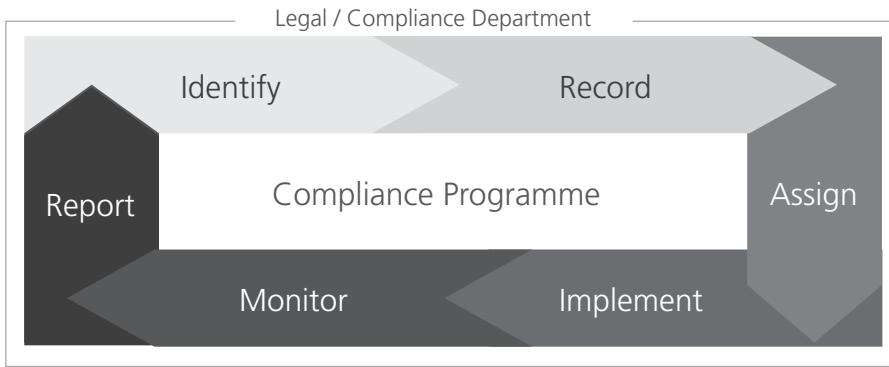
- | You cannot fully record or save information from any results from reviews, due diligence reports, or routine investigations and audits
- | You cannot ensure the findings from any compliance review are implemented into your compliance programmes

This application helps you to:

- | Easily record remediation and follow-up steps
- | Assign owners and follow up actions
- | Set reminders for completion and link them to the calendar and your to-do list
- | Produce status reports and spreadsheets showing key activities and next steps

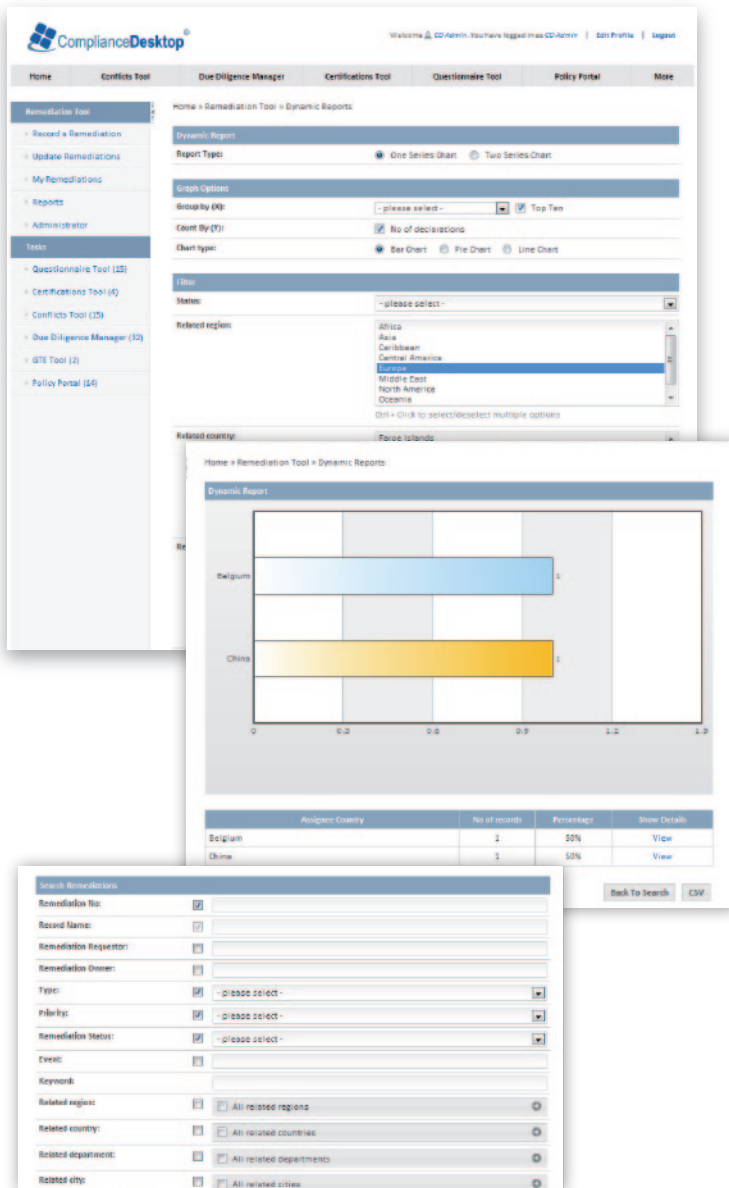


How it works



When a follow-up task is identified, use Remediation Tool to record and assign it to the appropriate resource and track it to completion.

See the Remediation Tool



‘While integrated with ComplianceDesktop® Technology Platform the Remediation Tool allows me to record remediation actions with one click. This tool also allows me to produce reports on outstanding and completed steps.’

Compliance officer, Major US-listed company

Features & benefits

Features

- Record a remediation item and assign tasks to primary and secondary assignees
- Search remediation records of related parties and by other advance search criteria
- Flexibility in creating priority categories and issues type to categorise remediation entries
- Designate resolution completion dates and reminders to owners, requesters, and assignees
- Ability to provide an overview summary of remediation by tool

Benefits

- Integrated with other ComplianceDesktop® Technology Platform applications to enable “one click” recording of remediation actions
- Track outstanding items, output in simple report format, and send reminders
- Produce complex reporting on outstanding and completed remediation steps
- Upload evidence of completed remediation steps

ComplianceDesktop® Technology Platform integration

- Questionnaire Tool™ - manages complex data collection activities to assess risk and compliance
- Certifications Tool - ensures all compliance obligations are certified, signed off, and determines what actions were completed
- Conflicts Tool - tracks all conflicts of interest within your organisation
- Gift Travels & Entertainment Tool - manages and reports all benefits received and requested, sent to and from your organisation
- Partner Manager - provides an overview and manages all of your third-parties’ statuses and of how they are interacting with your compliance programme